



Minutes of the 22 April 2022 meeting (held via Teams)

Present: Nick Whitaker (Chair)

Professor Christina Hughes, Barry Matthews, Rob Purdy

In attendance: Professor Phil Cardew*, Phil Harrison, Alison Kennell, Arvinder Khela (KPMG)*, Helen Knowles (BDO), Tracey Lancaster, Holly Lewis (Secretary), Adam Makda (KPMG), Matthew Page*, Professor Peter Slee, Caroline Thomas

Apologies: Qari Asim, David Morgan (*DM attended the briefing meeting with the internal auditors*)

Attendees' apologies: Andrew Bush (KPMG), David Lowen

Secretary's note: prior to the meeting, members of the Audit Committee met with the internal auditors (KPMG) without any University colleagues present.

PRELIMINARY ITEMS

Declaration of interest

095.2122 No declarations of interest were made.

Minutes

096.2122 The Committee agreed that the minutes of its meeting on 18 February 2022 were an accurate record.

Matters arising

097.2122 The Chair presented a report on matters arising from minutes of previous meetings of the Committee, that were not otherwise included on the agenda.

Minutes 098-100.2122 are exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

Secretary's note: Professor Phil Cardew left the meeting.

Chair's Action

101.2122 There had been no requests for procurement of professional services for which use of the University's auditors might be considered.

RISK MANAGEMENT

University Risk Register

102.2122 The Committee reviewed an overview of the University's risk register for the third quarter review in 2021/22.

Minutes 103-105.2122 are exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

106.2122 The Deputy Vice Chancellor (Resources) and IT Security Manager presented a deep dive on cyber security.

Minutes 107-109.2122 are exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

Secretary's note: Matthew Page left the meeting.

INTERNAL AUDIT

Internal Audit Progress Report

110.2122 The Committee received an update from KPMG on their work on the follow-up of past internal audit recommendations.

Minute 111.2122 is exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

Internal Audit Report of Insurance Arrangements

112.2122 The Committee received the outcome of the internal audit report on 'Insurance Arrangements'.

Minutes 113-114.2122 are exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

GOVERNANCE AND COMPLIANCE

Reportable Events: Update Report

115.2122 The Committee received an update on potential Reportable Events considered under the University's procedure, up to 7 April 2022.

Minute 116.2122 is exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

Data Amendment Process

117.2122 The Deputy Secretary updated the Committee on the outcome of the OfS Data Amendment Process.

Minute 118.2122 is exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

OTHER BUSINESS

Schedule of Business 2021/22

119.2122 The Committee noted its schedule of meetings and business.

Other Urgent Business

Minute 120.2122 is exempt from publication under the provisions of the Data Protection Act 2018, and section 41 (Information provided in confidence) of the Freedom of Information Act 2000

121.2122 No other urgent business was raised.

Date of next meeting

122.2122 The next meeting of the Audit Committee would be held 10:00 – 13:00 on Friday 24 June 2022 via Microsoft Teams. This would be preceded by a pre-meeting of the governors and the auditors from 09:30.

Confirmed by the Committee as a correct record and signed by the Chair:

Signed: _____ Date: _____

Minutes 123-126.2122 are exempt from publication under the provisions of Section 43 (Commercial Interests) of the Freedom of Information Act 2000.

Secretary's note: KPMG left the meeting.

Sarah Coll, Head of Procurement, joined the meeting.